PATENT

Docket No.: 12706/10

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS

Yorio WADA, et al.

SERIAL NO.

10/807,144

FILED

24 March 2004

FOR

OPTICAL FILTER AND OPTICAL INSTRUMENT

GROUP ART UNIT:

(Unassigned)

Conf. No. 3697

Mail Stop Missing Parts
COMMISSIONER FOR PATENTS
P. O. Box 1450
Alexandria, Virginia 22313-1450

:

RESPONSE TO NOTICE TO FILE MISSING PARTS

SIR:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed 4 June 2004, submitted herewith are a verified translation of the specification, claims, abstract and drawings and a Declaration/Power of Attorney signed by all the inventors. A copy of the Notice to File Missing Parts is also enclosed.

Also enclosed is a preliminary amendment deleting the multiple dependencies.

Please charge Deposit Account No. 11-0600 in the amount of \$770.00 to cover the filing fee and in the amount of \$260.00 to cover the surcharge under 37 CFR 1.16(e) for late filing of the declaration and under 37 CFR 1.17(i) for late filing of the translation. Please also charge said Deposit Account for any additional fees which may be required or credit any overpayment to said Account.

Respectfully submitted,

Dated: 02 September 2004

John C. Altmiller (Reg. No. 25,951)

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Page 1 of 2

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/807,144

03/24/2004

Yorio Wada

12706/10

23838 **KENYON & KENYON** 1500 K STREET, N.W., SUITE 700 WASHINGTON, DC 20005

CONFIRMATION NO. 3697 FORMALITIES LETTER OC000000012870790*

Date Mailed: 06/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i). previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1-16B.

09/03/2004 SZEWDIE1 00000054 110600 10807144

01 FC:1051 02 FC:1053

130.00 DA 130.00 DA

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$260 for a Large Entity

• \$130 Late oath or declaration Surcharge.

\$130 for English translation surcharge required.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE